



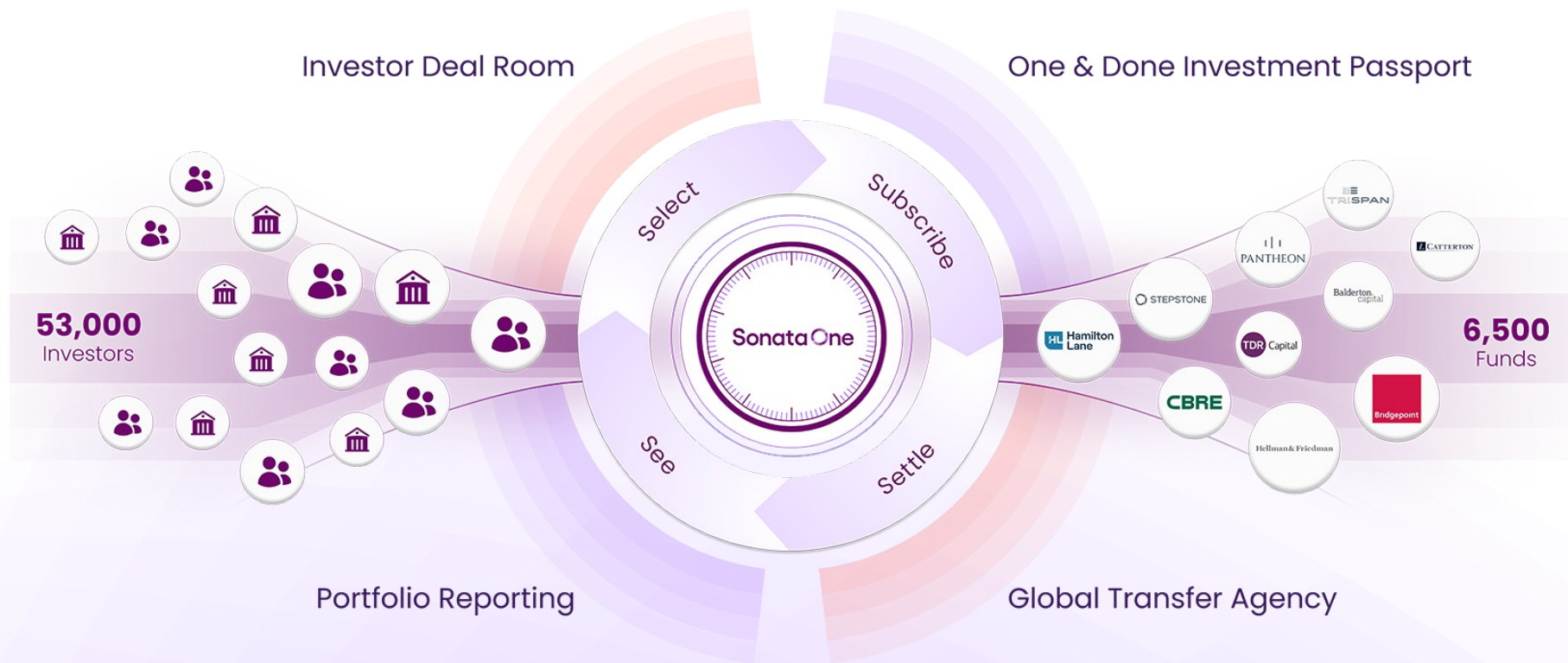
SonataOne

The Private Funds Clearinghouse

 Subscription  KYC  Tax  MLRO

sonataone.com

SonataOne



The **Private Funds** Clearinghouse

○ Subscription ○ KYC ○ Tax ○ MLRO

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Pre-approving investors once...

Traditional investor onboarding experience



PANTHEON

Hamilton Lane

STEPSTONE

ampega.

BRUNEL
Pension Partnership

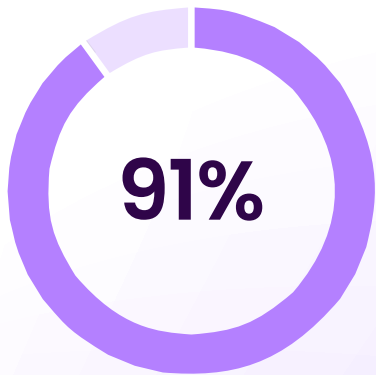
Hellman & Friedman

Subscription KYC Tax MLRO

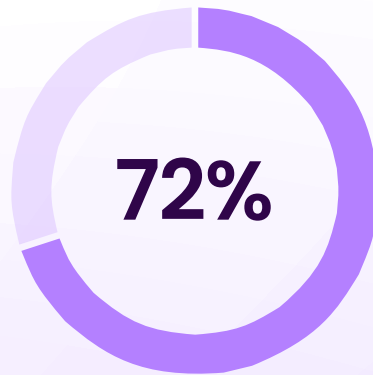
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Home to the world's leading investors

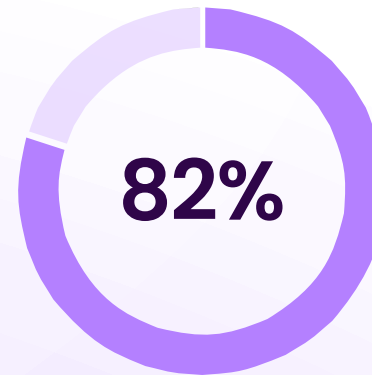
More than **53,000 investors** across **6,500 funds**
and **180 fund manager clients** around the globe are connected via Sonata One.



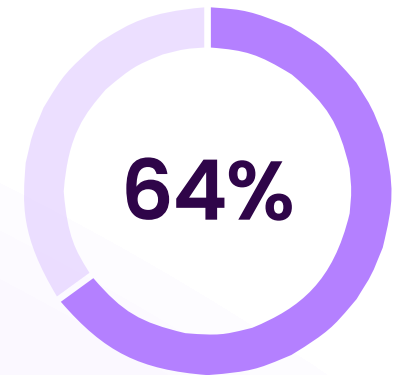
**91% of the largest
U.S. & European Pensions**



**72% of the largest
Sovereign Wealth Funds**



**82% of the largest
U.S. Endowments**



**64% of the largest
Global Foundations**

Are already on our platform

☒ Subscription ☒ KYC ☒ Tax ☒ MLRO

...all on one platform...

Subscription & Accreditation
Done Once.

Raise bigger funds faster and with ease.

Fund **Tax** Lifetime
Support.

Stay safe. Support your investors. Save time.



Subscription



KYC



Tax



MLRO

KYC Approved Once.
Everywhere.

Eliminate the pain with our single sign off.

Our **MLRO** takes on
KYC Responsibility.
Speedy investor support.

 Subscription  KYC  Tax  MLRO

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...with expert fund closing support

24 HOUR SUPPORT



☐ Subscription ☐ KYC ☐ Tax ☐ MLRO

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SonataOne

The Private Funds Clearinghouse

☐ Subscription ☐ KYC ☐ Tax ☐ MLRO

Investors:

A better
onboarding
experience

sonataone.com

Investor benefits

Simple One & Done process

- Invest with greater privacy
- Authenticated once
- You own and control your profile
- Faster investment and re-investment
- 24-hour support

Trusted by global clients



Hellman & Friedman



☐ Subscription ☐ KYC ☐ Tax ☐ MLRO

Subscription



Invite



Complete



Connect



Report

SonataOne

Search TC

Team Subscription Test Fund

Questionnaire 0%

- I. Subscriber D... 0%
- 1. Subscription In... 0%
- II. Subscriber S...
- III. Region Spec...
- Declaration
- Definitions

Documents 0%

GO TO KYC

Questionnaire | 1. Subscription Information

(0 out of 5 mandatory questions have been answered. All questions marked with * are mandatory.)

Subscription Information

1. Full Name of the person completing this questionnaire: *

2. Proposed Commitment Amount (USD\$(US Dollar): *

3. Subscriber Type: *

Please Select

4. The Subscriber is domiciled in, or at the time of Subscribing, the Subscriber is, or was, in: *

Please Select

Subscription Signatory Information

5. Does the Subscriber wish to sign the Subscription Agreement via DocuSign? *

Yes No

CLEAR ALL SAVE & CONTINUE

Subscription KYC Tax MLRO

Subscription

Pre-populating
previous sub-docs
gets you to 70%
completion
from the start

The screenshot shows the SonataOne web application interface for a subscription questionnaire. The header includes the SonataOne logo, a search bar, and user profile icons. The main content area is titled 'Questionnaire | 1. Subscription Information' and shows progress for 'Team Subscription Test Fund' at 90%. The questionnaire consists of several sections: 'I. Subscriber De...', '1. Subscription Inf...', '1.1 Subscriber Info...', '2. Subscriber Cont...', '3. Subscriber Bank...', 'II. Subscriber St...', 'III. Region Speci...', 'Declaration', and 'Definitions'. The 'Documents' section shows 0% completion with a 'GO TO KYC' button. The questionnaire questions include: '1. Full Name of the person completing this questionnaire: *' (filled with 'Demo'), '2. Proposed Commitment Amount (USD\$)(US Dollar): *' (filled with '100000'), '3. Subscriber Type: *' (filled with 'Natural Person'), '4. The Subscriber is domiciled in; or at the time of Subscribing, the Subscriber is, or was, in: *' (filled with 'United States'), '5. Does the Subscriber wish to sign the Subscription Agreement via DocuSign? *' (filled with 'Yes'), '5.1 Is the person signing the Subscription Agreement the same person who is completing this questionnaire? *' (filled with 'Yes'), and '5.1.1 To enable the sending of the Subscription Agreement for signing, please provide the appropriate email address: *' (filled with 'Chantelle.Dejongh@idigroup.com'). At the bottom right, there are 'CLEAR ALL' and 'SAVE & CONTINUE' buttons. A 'T&Cs' link is visible in the bottom left corner of the interface.

KYC



Upload evidence



Sanctions screening



Sonata One reviews the profile



Profile accepted & connected

SonataOne

←

KYC Profile

Questionnaire

100%

^

● Profile details

● Due diligence

● Ownership & Control

^

● Owners

● Controllers

Documents

100%

▼

Declaration

100%

Risk Assessment

View

Questionnaire | Due Diligence

Review status: **Approved**

Basic Details

Full Legal Name *

Target Cap Test Investors

Previous Name

Mid Cap Investors

Trading Name

Target Cap Test Investors

Regulated entities

i

If this profile is a regulated entity type, please select yes and complete the subsequent regulator information.

Is the entity regulated? *

Yes

No

Catering to different jurisdictions

Global Standard (Cayman, Lux, UK, CI)

US domiciled fund

The screenshot shows the SonataOne KYC Profile interface for a Global Standard jurisdiction. The 'Review status' is 'TDR Review Required'. The 'Questionnaire' is 50% complete, and 'Documents' are 0% uploaded. Under 'Mandatory Documents', there are two sections: 'Proof of Address' and 'Proof of Identity with Photo'. The 'Proof of Address' section shows an upload of 'IDR Client Landscape.pdf' with an expiry date of 'No expiry set'. The 'Proof of Identity with Photo' section shows no documents uploaded for this type of evidence.

The screenshot shows the SonataOne KYC Profile interface for a US domiciled fund. The 'Review status' is 'Incomplete'. The 'Questionnaire' is 33% complete, and 'Documents' are 0% uploaded. Under 'Mandatory Documents', there is one section: 'Proof of Identity with Photo'. The 'Recommended Documents' section shows 0 of 5 documents uploaded.

Investor profile at Global standard

VS

Investor profile investing into a US fund – onshore US standard

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A one-and-done investor passport

Intuitive and trusted

Investors create and complete a profile once, which they can then connect to their investments via our platform

Pre-populated information

Improve investors' experience

Internationally compliant

we approve profiles to the highest international standards across all principal fund jurisdictions

Service providers

can rely on our approval

Digital document verification

no need to send paper copies for signing

We screen shareholders and co-investors

no need to send paper copies for signing

Investors own and control their information

helping fund managers to be GDPR compliant



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The Private Funds Clearinghouse

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Fund Managers:
Raise bigger
funds faster

sonataone.com

Fund manager benefits

Raise bigger
funds faster

56%

of your investors already have
Sonata One profiles.

- Better investor experience
- 40% more efficient
- One stop shop
- Pre-approve your investors once across all your funds, jurisdictions and service providers

"Working with Sonata One has made managing our investment-related KYC straightforward. The time we spend on responding to queries and requests for information has reduced significantly as Sonata One take care of these for us."

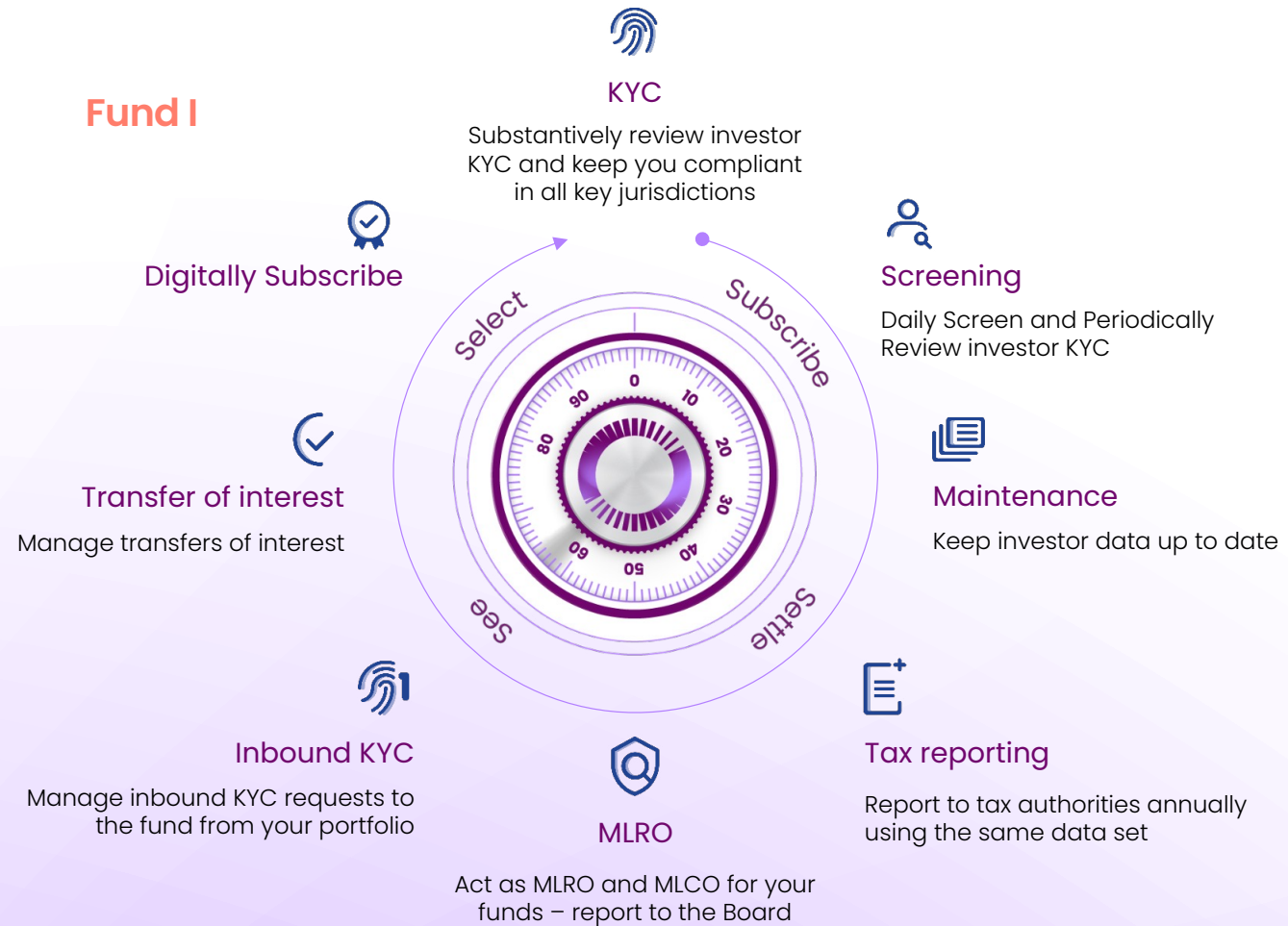
ampega.

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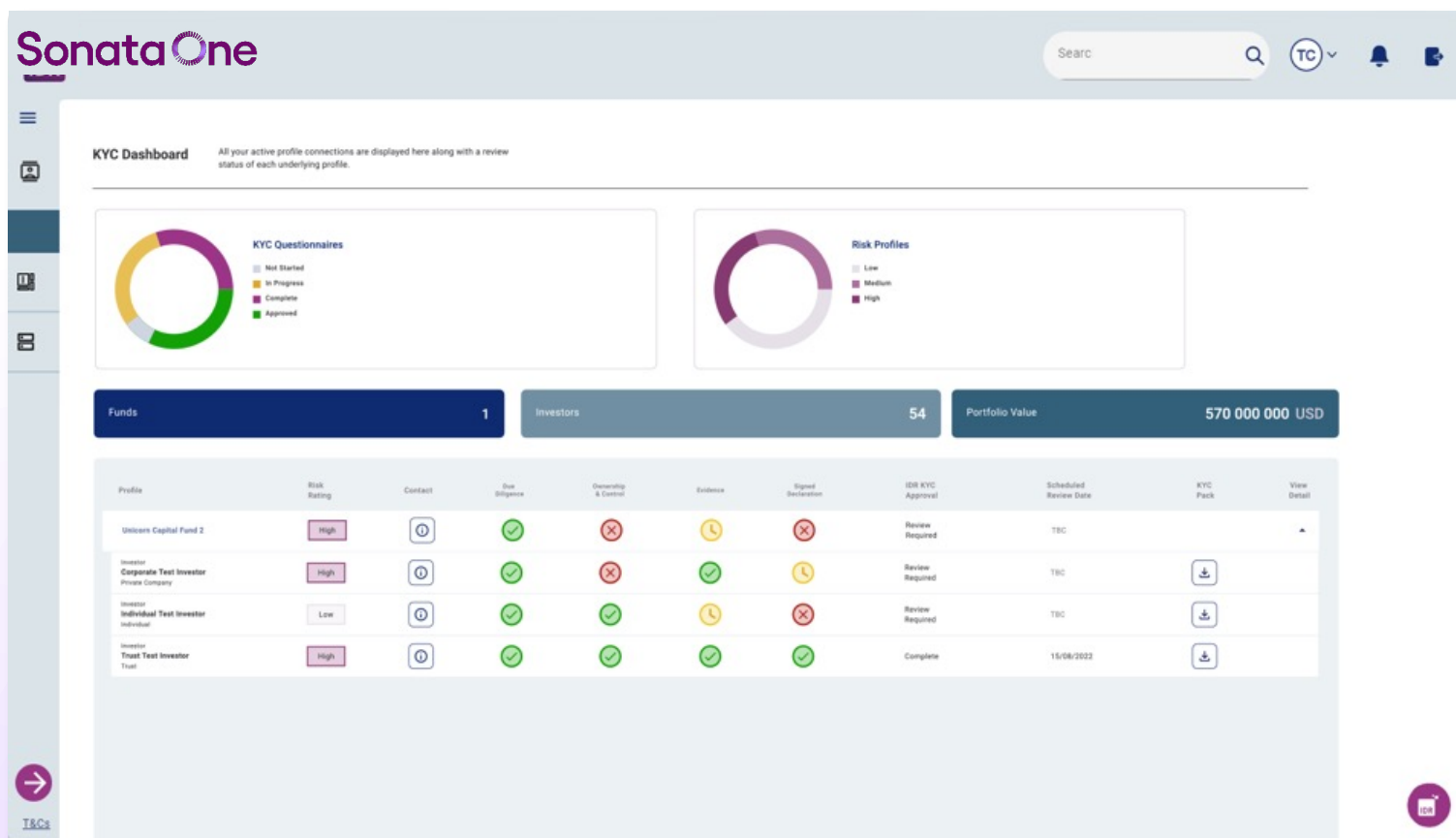
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Fund life cycle services

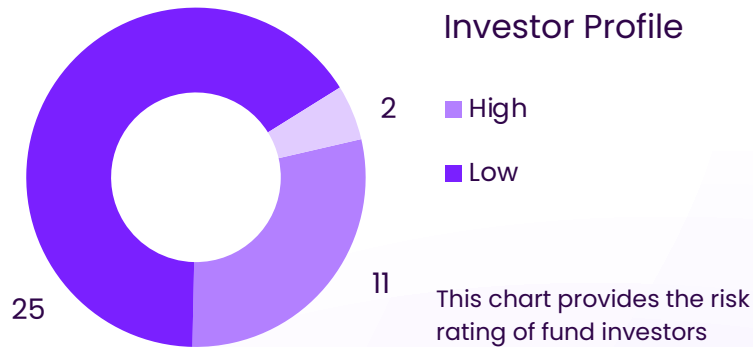
All in one
place



Replace your spreadsheet with a clear dashboard



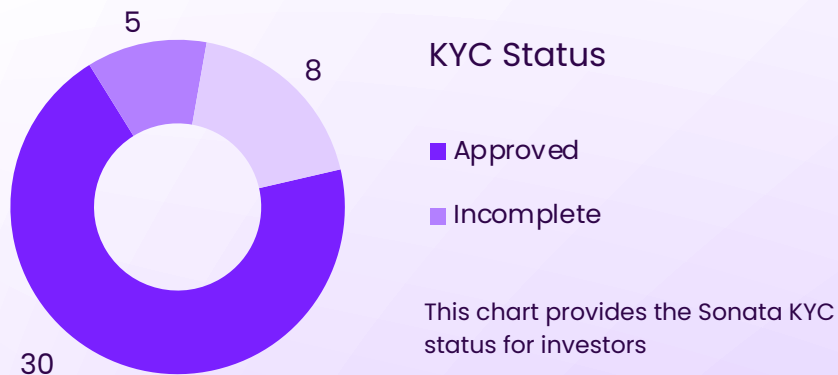
Rely on our global AML Officer support...



High Risk Indica

	PEP	Jurisdiction	Sensitive Activities	All 3
PEP	3			
Jurisdiction	1	3		
Sensitive Activities	1	0	1	
All 3				2

This chart provides the breakdown of higher-risk attributes of investors



Fund Profile



The purpose of the chart is to assess the average position of fund's investor population against the risk appetite of the Board

...and trusted Tax Services all in one platform

FATCA / CRS Solution

Principal Point of Contact / RO – Sonata One handles all IRS or Local Tax Authority enquiries related to FATCA/CRS



Classification

We manage the 'heavy lifting' associated with FATCA/CRS classification



Investigation

Identify and verify your reportable accounts. We will provide you with an Investigation Summary Report



Registration

We will register your reportable entities with the IRS and/or local Exchange of Information portal



Reporting

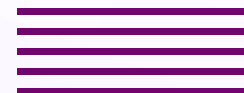
'One-click' reports, generated in the formats specified by the tax authorities



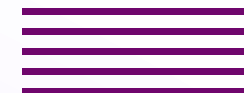
Managed Tax Forms Solution



Fund



Investor



Tax Form Validation Service

Fund side

We manage the time-consuming counterparty requests preparing & validating your W-series Tax forms and Self certifications

Investor Side

We validate, retain and maintain up to date W-series documentation and self-certifications

Close bigger funds faster and more easily with Sonata One

We help your investors subscribe so your fund can launch quickly, easily and accessibly

One-&-done

Digital securities law questionnaire developed with input from over 35 global legal firms

No more confusion

Investors complete only the questions that are relevant for their investment

Shrink the subscription booklet

Reduced to only a few pages

More efficient legal fees

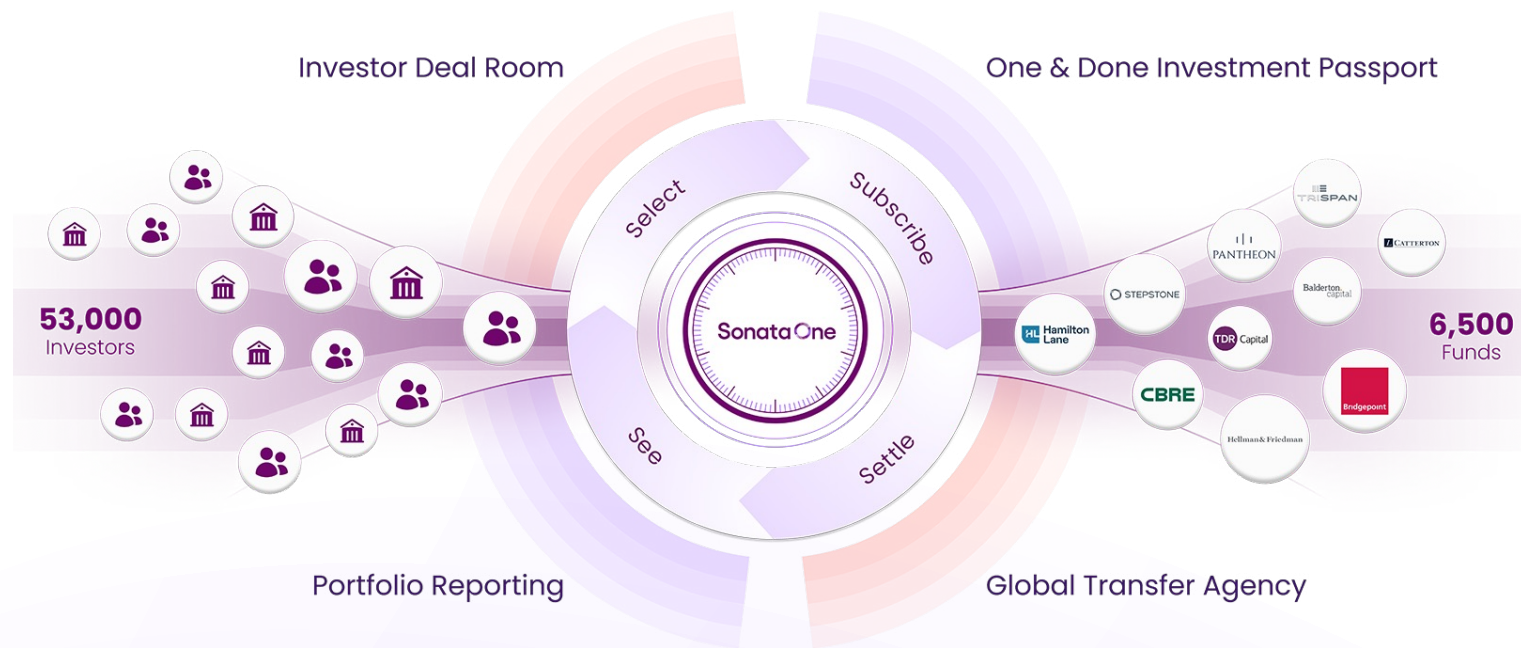
Fund legal team focus meaningful work for faster fund launches and less legal time spent chasing investors

Faster closings

Our dedicated fund closing team helps investors and works with you to your client deadline

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The Private Funds Clearinghouse

one
hub

one
solution

one
team

One & Done
Private Markets Passport

Access to over
53,000
Investors

One
Global Standard

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Ready to learn more?

Reach out to our team
contact@sonataone.com

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Sonata One is a trading name of the Sonata One group of companies. Details of our licensed companies are available on our [website](#).